



Complaint Information Form

To report a complaint against a RFP (Registered Financial Planner) member please complete the form and submit it to the International Registered Financial Planners Headquarters. Your complaint will be handled confidentially and thoroughly.

If additional information is required, a RFPI board member will contact you. Please provide copies of any documents or evidence of misconduct to support your complaint that you may feel helpful in this investigation.

Please complete the information required below.

1. Please give the name of the RFP whom this complaint is being filed:

Name: _____

Address: _____

Employer: _____

Phone: _____

2. Please give your name and contact information:

Name: _____

Address: _____

Phone: _____

Email: _____

3. Please describe the reason you are filing this complaint. (or attach on another sheet of paper)

4. Did you pay a fee to the RFP member:

Yes _____ (if yes, how much :_____) No _____

5. Did you sign a written contract for services to be provided:

Yes _____ (please provide a copy)

No _____ (if not, what is your relationship to this individual)

6. Have you notified any regulatory authorities in connection with your complaint?

Yes _____ (which one _____)

No _____

7. Have you begun any legal action against the RFP member?

Yes _____ (what action has been taken, explain below)

No _____

Please sign:

Signature: _____ Date: _____

Mail your complaint to:

International RFPI Headquarters

2001 Cooper Foster Park Rd

Amherst, OH 44001

Or you may fax the form:

Attention: Complaint

Fax: 440-282-7176

Upon receipt of the complaint issued against the RFP member, the RFPI Board will carefully review the information and determine if further investigation is required.

Please provide copies of documents or other evidence to support your complaint.